

REACTIVATION OF CASH ISA APPLICATION FORM

This reactivation form is required by HM Revenue and Customs only when subscribing to your Earl Shilton Building Society ISA when no subscription to that ISA has been made in the previous tax year.

esbs

as individual as you

ACCOUNT NUMBER:

NAME OF SHAREHOLDER (Who may attend meetings and exercise membership rights)

Title	Mr		Mrs		Miss		Ms		Other		
Surname						Home Tel No.					
Full First Names						Mobile					
Date of Birth	D D / M M / Y Y Y Y					Email					
Nationality						Occupation					
National Ins. No.											
Address - permanent residential											
						Postcode					
Are you a citizen and tax resident of the UK only?	Yes		No		If NO, please complete the Tax Residency Self Certification Declaration Form						
Are you currently or have you ever been a senior public figure*?	Yes		No		*Senior public figure - occupying, or have occupied a senior position in the government in any department, agency, subsidiary government organisation or political party						
If YES, please state your position and the dates in position						From		To			
Please name any senior public figure you are related to or have connections with, and state the nature of the relationship						Relationship					

INTEREST INSTRUCTIONS - Annually (Please indicate as appropriate)

Add to this account:				Transfer to ESBS Account Number:				
Pay to:	Bank				Branch			
Account No			Account Name			Sort Code		

For your own benefit and protection you should read carefully the information and Terms and Conditions contained in this application form, including the declaration overleaf, before signing this form. If you do not understand any point please ask for further information.

AGREEMENT TO ASSIGN WINDFALLS TO CHARITY

Words printed in *italics* in this section of the application form are explained in the notes at the end.

- Paragraphs 2 to 6, below, will apply to me unless I am an *exempt customer* at the time when the account is *opened*.
- I agree with the Society that, if the right to any *windfall benefits* is granted to me after the *account is opened*, I will assign those *windfall benefits* to the *selected charity* unless the period between my *account being opened* and the *conversion announcement date* is more than 5 years (or, if applicable the *shorter period*).
- I authorise the Society and the *successor* to pass any *windfall benefits* direct to the *selected charity* (or to any other charity which the *selected charity* may nominate to receive those benefits), without notice to me.
- I understand that:
 - the Society has promised to transfer to the *selected charity* the benefit of the agreement which I have given under paragraph 2, above;
 - neither the Society nor the *selected charity* will release me from that agreement; and
 - any power of the Society to change the terms of its contract with me will not apply to any of the terms set out in this section of the application form.
- I authorise the Society to give the *selected charity* any information about me or any account which I have with the Society (now or in the future) - but only if the *selected charity* reasonably needs it regarding the agreement I have given under paragraph 2, above.
- I understand that the Society will require anyone who *opens* a share account (who is not then an *exempt customer*) to agree to assign to charity the right to any *windfall benefits* to which that person may become entitled. The terms of the agreement will be decided by the Society and may be different from the terms in paragraphs 1 to 5, above. This paragraph will no longer apply if the Society publishes a notice in the press of a decision by the Society that it will no longer require new shareholding members to enter into such agreements.

Notes - These notes apply to paragraphs 1 to 6, above:

- The "*account*" is the share account which you are applying to open by completing this form.
- The "*conversion announcement date*" means the date on which the Society makes a public announcement of a proposal to transfer its business to a successor.

AGREEMENT TO ASSIGN WINDFALLS TO CHARITY continued...

- (c) The “current terms” means terms which are the same as, or similar to, the terms set out in paragraphs 2 to 5 above.
- (d) You are an “exempt customer” when you open the account if:
- you have held shares in the Society on, and at all times since, 31 October 1999; or
 - you have already entered into an agreement with the Society binding you to the current terms; or
 - you belong to one of the groups of other people who, in the Society’s opinion, do not need to be asked to agree to the current terms. A list of these groups is available from the Society at any time. The Society may alter the number and composition of the groups from time to time, but no alteration will apply retrospectively.
- (e) A person “opens” an account either by opening a new account or by having an existing account transferred into his or her name (whether as sole or joint holder)
- (f) The “selected charity” means the Charities Aid Foundation or, if it is at any time no longer a registered charity, any other charity or charities selected by it to receive assignments of windfall benefits.
- (g) A “shorter period” is applicable if, when the Society opens your account, you belong to one of the groups of people who, in the Society’s opinion, do not need to be asked to agree the usual 5 year period. A list of these groups, stating the periods applicable to them, is available from the Society at any time. The Society may alter the number and composition of the groups and the periods applicable to them from time to time, but no alteration will apply retrospectively.
- (h) The “successor” is any company or other corporate body to which the Society transfers its business under Section 97 of the Building Societies Act 1986 (or under any provision which amends or replaces it).
- (i) A “windfall benefit” is a benefit which a person has the right to receive as a shareholding member of the Society, under the terms of any future transfer of the Society’s business to a successor (i.e., on a conversion or take-over), other than:-
- the replacement of savings in a share account with the Society with savings in a deposit account with the successor, or
 - any benefit which the Society has decided may be kept by a person who has a number of accounts and was an exempt customer when opening one or more (but not all) of them.
- A list setting out the conditions which determine whether, and to what extent, such a person may keep a benefit is available from the Society at any time. These conditions may be altered by the Society from time to time, but no alteration will apply retrospectively.
- (j) Where more than one of you is signing this form, paragraph 1 and (if applicable) paragraphs 2 to 6, above, apply to each of you separately.
- (k) If the Society no longer exists following a merger with another building society, paragraphs 2 to 6, above, will still apply between you and the other society.

DECLARATION

I apply to subscribe for a CASH ISA ***90 Day / Instant Access** for the **tax year 20** ____ / **20** ____ and each subsequent year until further notice.
(*please delete as appropriate)

“I declare that

- all subscriptions made, and to be made, belong to me;
- I am 16 years of age or over;
- I have not subscribed/made payments, and will not subscribe/make payments, more than the overall subscription/payment limit in total to a Cash ISA, a Stocks and Shares ISA, an Innovative Finance ISA and a Lifetime ISA in the same tax year;
- I have not subscribed and will not subscribe to another cash ISA in the same tax year that I subscribe to this cash ISA; and
- I am resident in the United Kingdom for tax purposes or, if not so resident, either perform duties which, by virtue of Section 28 of Income Tax (Earnings & Pensions) Act 2003 (Crown employees serving overseas) are treated as being performed in the United Kingdom, or I am married to, or in a civil partnership with, a person who performs such duties. I will inform Earl Shilton Building Society if I cease to be so resident or to perform such duties or be married to, or in a civil partnership with, a person who performs such duties.”

I authorise Earl Shilton Building Society

- To hold my cash subscription, ISA investments, interest, dividends and any other rights or proceeds in respect of those investments and any other cash;
- To make on my behalf any claims to relief from tax in respect of ISA investments;
- I agree to the ISA Key Facts and Terms and Conditions leaflet;
- I declare that this application form has been completed to the best of my knowledge and belief;
- I have received and agree to the Terms and Conditions for Earl Shilton Building Society Retail Savings Accounts and Agreement to assign windfalls to charity and agree to invest the sum of £ in a CASH ISA Account in accordance with such Terms and Conditions and Agreement;
- Opening this share account confers membership of Earl Shilton Building Society and I acknowledge that my membership rights are included in the Society’s Rules.
- I acknowledge receipt of the Deposit Guarantee Scheme Information Sheet.

YOUR PERSONAL INFORMATION - Under data protection laws Earl Shilton Building Society is a data controller of your personal information. This means information that is about you or from which we can identify you. As data controller in our business relationship with you we decide how and why your personal information is processed. This is explained in the Society’s Privacy Notice along with the rights you have regarding your data, a copy of which has been provided to you and can be viewed on the Society’s website www.esbs.co.uk.

- I agree to my data being processed in the ways set out in the Society’s Privacy Notice.
- From time to time the Society may wish to share with you details of its products, services and events. The Society’s Contact Preferences form gives you the option to provide your consent to receive marketing information from Earl Shilton Building Society.
- I acknowledge receipt of the Society’s Privacy Notice and Contact Preferences form.

Signature of Shareholder		Date	
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For Office Use Only

Customer	Verification (if applicable)		Customer number
I			
Date of Reactivation			
Cashier Signature	Date		
Checked by	Date		

EARL SHILTON BUILDING SOCIETY

22 THE HOLLOW, EARL SHILTON, LEICESTER LE9 7NB

t 01455 844422 **w** www.esbs.co.uk **e** enquire@esbs.co.uk

Authorised by the Prudential Regulation Authority and regulated by the Financial Conduct Authority and the Prudential Regulation Authority



CONTACT PREFERENCES

We use a range of channels to provide you with information about products, the Society, services and events which may be of interest to you. By completing this form, you can add or remove some of the ways in which we contact you and ensure that your contact details are up to date.

Our Privacy Notice describes how we use your personal information and your rights under data protection laws. A copy of this can be obtained in either of our branches, on our website esbs.co.uk or by telephoning us on 01455 844422.

1. Full Name & Account Number

Full Name:	
Account Number (if applicable):	

2. Your Contact Details

Earl Shilton Building Society will primarily contact you in writing using the correspondence address on your account(s). Sometimes it may be appropriate to contact you by telephone or email, for example, if we need to confirm instructions that you have sent. We will only contact you by telephone or email if you have provided consent for us to do so.

Please enter your details below if you are happy to be contacted by telephone or email. You may have already provided these, however we would like to ensure the details we hold for you are correct and up to date.

If your address has changed or requires amending, please notify us in writing so that we can update our records.

Preferred Contact Telephone Number:	
Preferred Email:	

3. Marketing Consent

Earl Shilton Building Society may wish to contact you by post, telephone and/or email with information about other financial products or services that are available from us. We can only do this if we have your consent.

Do you wish to receive marketing information from Earl Shilton Building Society by:

Email?	Yes <input type="checkbox"/>	No <input type="checkbox"/>	Please confirm your preferences by ticking the appropriate box
Telephone?	Yes <input type="checkbox"/>	No <input type="checkbox"/>	
Post?	Yes <input type="checkbox"/>	No <input type="checkbox"/>	

You can stop our marketing at any time by writing to us at 22 The Hollow, Earl Shilton, Leicester LE9 7NB, by contacting either of our branches or by following the instructions on how to do this in any marketing email or other communication.

We will not use these instructions to pass your details on to any third parties for marketing purposes.

4. Signature

By signing below, you are confirming your instructions above

Signature:		Date:	
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For Office use ONLY

Account Number:		Customer Number:	
Input by:		Checked by:	
Date:		Date:	

22 THE HOLLOW, EARL SHILTON, LEICESTER LE9 7NB

01455 844422 www.esbs.co.uk enquire@esbs.co.uk

All calls will be recorded for training and monitoring purposes

Earl Shilton Building Society is authorised by the Prudential Regulation Authority and regulated by the Financial Conduct Authority and the Prudential Regulation Authority.